

## **FAX TRANSMITTAL COVER SHEET**

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**Plano, Texas 75024-6616**  
Telephone Number: (972) 731-2288  
Fax Number: (972) 731-2289

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**NAME:** Office of Initial Patent Examination  
Commissioner for Patents

**FAX:** (703) 746-9195

**FROM:** Michael W. Piper

**DATE:** November 10, 2004

**RE:** U.S. Patent Application Serial No. 10/730,624  
Filing Date: December 8, 2003  
Atty Docket No. 4044-01400  
Copy of Updated Filing Receipt (2 pages)  
Request for Corrected Filing Receipt (2 pages)  
Copy of Filing Receipt (2 pages)  
Copy of Request for Corrected Filing Receipt (3 pages)  
Transmittal Cover Sheet (1 page)

**Total Number of Pages (Including Cover Page): 11**

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/730,624
		Filing Date	December 8, 2003
		First Named Inventor	David L. Dinwoodie
		Group Art Unit	3624
		Examiner Name	Unknown
Total Number of Pages in This Submission	10	Attorney Docket Number	4044-01400

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney and Correspondence Address Indication Form <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): • Fax Cover Transmittal • Request for Corrected Updated Filing Receipt
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Michael W. Piper, Reg. No. 39,800
Signature	<i>Michael W. Piper</i>
Date	11/10/2004
CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to 703.746.9195, Commissioner of Patents, Office of Initial Patent Examination, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or Printed Name	Karen A. Harris
Signature	<i>Karen A. Harris</i>
Date	November 10, 2004

Atty Docket No.: 4044-01400

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Dinwoodie  
Serial No.: 10/730,624  
Filed: December 8, 2003  
For: AUCTION SYSTEM FOR REMOTE  
BIDDING AND METHOD

§  
§ Group Art Unit: 3624  
§  
§ Examiner: N/A  
§  
§ Confirmation No. 4260  
§  
§

Commissioner for Patents  
Attn: Office of Initial Patent Examination  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

## CERTIFICATE OF TRANSMISSION

Pursuant to 37 C.F.R. §1.8, I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office to Facsimile No. (703) 746-9195 on November 10, 2004.

  
Karen A. Harris

**REQUEST FOR CORRECTED UPDATED FILING RECEIPT**

Attached is a copy of the *Updated Filing Receipt* received from the USPTO mailed September 17, 2004, in the above application for which issuance of a corrected Updated Filing Receipt is respectfully requested.

Please correct the title to read as follows:

**Auction System for Remote Bidding and Method**

Attached to this Request is a copy of the *Original Filing Receipt* and a copy of the Request for Corrected Filing Receipt sent via facsimile on September 16, 2004 from which the error may readily be discerned by comparison of these attachments.

21089.01/4044.01400

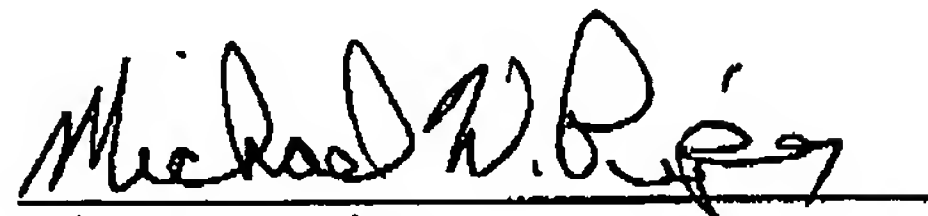
The requested correction to the *Updated Filing Receipt* is not due to any error by Applicant and therefore, no fee is due.

Respectfully submitted,

Date:

11/10/2004

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Plano, Texas 75204  
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Facsimile: (972) 731-2289



Michael W. Piper  
Reg. No. 39,800

ATTORNEY FOR APPLICANTS

DOCKETED

9/20/04



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/730,624	12/08/2003	3624	608	4044-01400	8	40	4

30652  
 CONLEY ROSE, P.C.  
 5700 GRANITE PARKWAY, SUITE 330  
 PLANO, TX 75024

CONFIRMATION NO. 4260

## UPDATED FILING RECEIPT

\*OC000000013835723\*

Date Mailed: 09/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David L. Dinwoodie, Flower Mound, TX;

## Power of Attorney:

Albert Metrailler-27145  
 Michael S. Bush-31745  
 Kristin Jordan Harkins-37859  
 Rodney Carroll-39824  
 Michael Piper-39800

Joseph Brown Jr-45438  
 Shannon Bates-47412

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 07/09/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US10/730,624**

Projected Publication Date: 06/09/2005

Non-Publication Request: No

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Interactive remote auction bidding system

**Preliminary Class**

705

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/730,624	12/08/2003	3624	608	4040-01400	9	40	4

CONFIRMATION NO. 4260

30652  
 CONLEY ROSE, P.C.  
 5700 GRANITE PARKWAY, SUITE 330  
 PLANO, TX 75024

## FILING RECEIPT



\*OC000000013197357\*

Date Mailed: 07/12/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David L. Dinwoodie, Flower Mound, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 07/09/2004

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Auction system for remote bidding and method

Preliminary Class

705

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Cover  
Page

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Fax Number: (972) 731-2289

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NAME:	Office of Initial Patent Examination Commissioner for Patents
FAX:	(703) 746-9195
FROM:	Michael W. Piper
DATE:	September 16, 2004
RE:	U.S. Patent Application Serial No. 10/730,624 Filing Date: December 8, 2003 Acty Docket No. 4044-01400 Copy of Official Filing Receipt (2 pages) Request for Corrected Filing Receipt (2 pages) Transmittal Cover Sheet (1 page)

Total Number of Pages (including Cover Page): 6

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PAGE 16 \* RCVD AT 9/16/2004 3:04:48 PM [Eastern Daylight Time] \* SVR:USPTO-EFAXF-2/6 \* DNIS:7469195 \* CSID:9727312289 \* DURATION (mm-ss):03-06

Atty Docket No.: 4044-01400

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Dinwoodie

Serial No.: 10/730,624

Filed: December 8, 2003

For: AUCTION SYSTEM FOR REMOTE  
BIDDING AND METHOD§  
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§

Group Art Unit: 3624

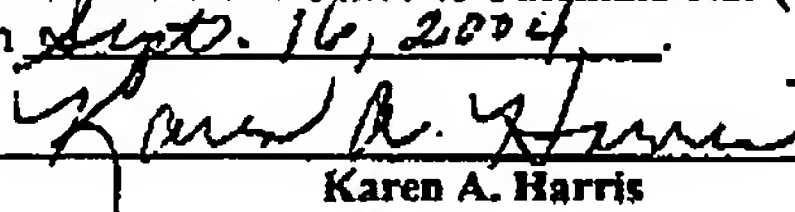
Examiner: N/A

Confirmation No. 4260

Commissioner for Patents  
Attn: Office of Initial Patent Examination  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

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correspondence is being facsimile transmitted to the U.S.  
Patent and Trademark Office to Facsimile No. (703) 746-  
9195 on Sept. 16, 2004.  
Karen A. HarrisREQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official Filing Receipt received from the PTO mailed July 12, 2004, in the above application for which issuance of a corrected Filing Receipt is respectfully requested.

Please amend the Attorney Docket No. to read as follows:

4044-01400

The Commissioner is hereby authorized to charge any payment that may be due or credit any overpayment to, Deposit Account No. 50-1515, Conley Rose, P.C.

Respectfully submitted,

Date: 9/15/2004



Michael W. Piper  
Reg. No. 39,800

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ATTORNEY FOR APPLICANTS